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STABILITY INDICATING REPORT:

GALACTOSE

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1. PURPOSE:

- 1.1. The purpose of this Report is to report which analytical analyses are stability indicating through assessment of results on chemically and physically stressed galactose.
 - 1.1.1. Chemical and Physical Stressors:
 - 1.1.1.1. Temperature
 - 1.1.1.2. Humidity
 - 1.1.1.3. Light
 - 1.1.1.4. pH
 - 1.1.1.4.1. Acid
 - 1.1.1.4.2. Base
 - 1.1.1.5. Oxidative
 - 1.1.2. Analytical analyses:
 - 1.1.2.1. Appearance of Solution (NF)
 - 1.1.2.2. Appearance and Color
 - 1.1.2.3. Acidity/Alkalinity (NF)
 - 1.1.2.4. Assay % w/w (NF)
 - 1.1.2.5. Chromatographic Purity/ Related Substances (NF)
 - 1.1.2.6. Identification A, B, C (NF)
 - 1.1.2.7. Specific/Optical Rotation
 - 1.1.2.8. Water (KF)
 - 1.1.3. The following tests were not performed after material manipulations:
 - 1.1.3.1. Microbial Enumeration Tests / Tests for Specified Microorganisms
 - 1.1.3.2. Residual Solvents (Excluding Water, via Karl Fischer)
 - 1.1.3.3. Heavy Metals /Elemental Impurities / Limit of Pb or Fe
 - 1.1.3.4. Residue on Ignition /Sulfated Ash

2. SCOPE:

2.1. This Report applies to the stability testing requirements of BioSpectra manufactured Galactose, the findings in this report are to be used to determine the tests that will be tested at each stability time interval and represented on the stability data card.

3. RESPONSIBILITIES:

- 3.1. The Executive Director of Quality Control is responsible for the control, implementation, training and maintenance of this procedure.
- 3.2. The QC Analysts were responsible for performing the testing stated in this Report and recording all results in current laboratory documentation.
- 3.3. The Executive Director of Quality or qualified personnel is responsible for completing the Stability Indicating Report.
- 3.4. Standard laboratory safety regulations apply. Before working with any chemical, read and understand the safety data sheet (SDS).

4. **REFERENCES:**

- 4.1. Balance SOP, DCN: 16-000368
- 4.2. Blue M Convection Oven Operation and Calibration SOP, DCN: 16-000502
- 4.3. Current USP/ NF
- 4.4. Fisher Scientific Isotemp Water Bath Operation Calibration SOP, DCN: 16-001355
- 4.5. Laboratory Notebooks, DCN: 16-000482
- 4.6. Muffle Furnace SOP and Calibration, DCN:16-000364

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- 4.7. NexION 350X ICP-MS SOP, DCN: 16-001923
- 4.8. Stability Indication Report, DCN: 16-001384
- 4.9. <u>VWR Gravity Convection Oven Operation and Calibration (Model Number 414005-106)</u>, <u>DCN: 16-001319</u>

ICH Q1A

5. EQUIPMENT:

4.10.

- 5.1. Analytical Balance
 - 5.1.1. MSE 224S S/N 24801744
 - 5.1.2. Practum 612 1-S S/N 0031950175
 - 5.1.3. Secura 124-1S S/N 29212172
- 5.2. Oven
 - 5.2.1. S/N 1100001176D009
- 5.3. Calibrated Thermometer
- 5.3.1. S/N 210354608
- 5.4. Calibrated Timer 5.4.1. S/N 2100126915.4.2. S/N 200299683
- 5.5. Eppendorf Micropipette
 - 5.5.1. S/N J18397D
 - 5.5.2. S/N K53394I
 - 5.5.3. S/N 034393G
- 5.6. Lux Meter
 - 5.6.1. S/N 0037D
- 5.7. MCP Polarimeter 300
 - 5.7.1. S/N 80974130
- 5.8. Metrohm 907 Auto Titrator 5.8.1. S/N 12155
- 5.9. Perkin Elmer Spectrum Two UATR 5.9.1. S/N 97561
- 5.10. Perkin Elmer Flexar HPLC
 - 5.10.1. Solvent Manager 260S13111110F
 - 5.10.2. Autosampler 293H3080804A
 - 5.10.3. Pump 291S13111109F
 - 5.10.4. RI Detector 292J3110505B
 - 5.10.5. Oven 0VHF130915868

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6. PROCEDURE:

- 6.1. Stress Procedures Galactose Lot GALP-0121-00002:
 - 6.1.1. Control:
 - 6.1.1.1. Unstressed Material
 - 6.1.2. Thermal Stress:
 - 6.1.2.1. Transferred 100.0100g of galactose to a suitable tray and evenly spread to increase exposure area.
 - 6.1.2.2. Heated sample at 130°C for 18 hours to degrade.
 - 6.1.2.3. Froze sample for 14 hours after heat exposure.
 - 6.1.2.4. Brought sample back to room temperature before analysis.
 - 6.1.3. Acid Stress:
 - 6.1.3.1. Applied 2.5mL of hydrochloric acid (Lot 201292 Exp. 6/30/25) to 100.0019g of sample; mixed the acidic mixture thoroughly in a suitable container.
 - 6.1.3.2. Dried the sample using a well-ventilated tray and use a mortar and pestle to homogenize after drying.
 - 6.1.4. Basic Stress:
 - 6.1.4.1. Applied 2.5mL of 50% sodium hydroxide (Lot 196090 Exp 11/21) to 100.0082g of sample; mixed the basic mixture thoroughly in a suitable container.
 - 6.1.4.2. Dried the sample using a well-ventilated tray and use a mortar and pestle to homogenize after drying
 - 6.1.5. Oxidative Stress:
 - 6.1.5.1. Applied 2.5mL of 30% hydrogen peroxide (175412 Exp. 9/21) to 100.0153g of sample. Mixed the mixture thoroughly and allow to react in an open container or vessel.
 - 6.1.5.2. After reaction ceased, transferred material to a well-ventilated tray and use a mortar and pestle to homogenize after drying.
 - 6.1.6. Humidity/Hydrolytic Stress:
 - 6.1.6.1. Transferred material to a suitable tray and evenly spread to increase exposure area.
 - 6.1.6.2. Exposed sample to 40°C temperature and 75% relative humidity for 48 hours before removing for test.
 - 6.1.7. Photolytic Stress:
 - 6.1.7.1. Exposed sample to approx. 1.2 million lux hours of light.

Lux hours = (Average Lux Intensity * Hours of Exposure)

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7. ANALYTICAL PROCEDURES AND RESULTS:

7.1. APPEARANCE AND COLOR

White to off white crystalline powder:

- 7.1.1. Testing Reference: BD4P36
- 7.1.2. Results:

Lot ID	Result	Stability Indicating (Yes/No)
GALP-0121-00002 Thermal Stress	Light Brown Crystalline Powder	
GALP-0121-00002 Acidic Stress	White to Almost White, Crystalline Powder	
GALP-0121-00002 Basic Stress	Light Brown Crystalline Powder	Yes
GALP-0121-00002 Oxidative Stress	White to Almost White, Crystalline Powder	res
GALP-0121-00002 Humidity Stress	White to Almost White, Crystalline Powder	
GALP-0121-00002 Photolytic Stress	White to Almost White, Crystalline Powder	

7.2. ACIDITY/ALKALINITY

- 7.2.1. Testing Reference: BD4P37
- 7.2.2. Results:

Lot ID	Result	Stability Indicating (Yes/No)
GALP-0121-00002 Thermal Stress	Passes Test	
GALP-0121-00002 Acidic Stress	Does Not Pass Test	
GALP-0121-00002 Basic Stress	Does Not Pass Test	Yes
GALP-0121-00002 Oxidative Stress	Does Not Pass Test	res
GALP-0121-00002 Humidity Stress	Passes Test	
GALP-0121-00002 Photolytic Stress	Passes Test	

7.3. <u>ASSAY</u>

<u>98.0-102.0%:</u>

Passes Test:

- 7.3.1. Testing Reference: HPLC06 pp37-39, 57
- 7.3.2. Stressed Results:

Lot ID	Result	Stability Indicating (Yes/No)
GALP-0121-00002 Thermal Stress	Does Not Pass Test	
GALP-0121-00002 Acidic Stress	Does Not Pass Test	
GALP-0121-00002 Basic Stress	Does Not Pass Test	Yes
GALP-0121-00002 Oxidative Stress	Does Not Pass Test	res
GALP-0121-00002 Humidity Stress	Does Not Pass Test	
GALP-0121-00002 Photolytic Stress	Does Not Pass Test	

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7.4. APPEARANCE OF SOLUTION

Refer to Summary Sheet:

7.4.1. Testing Reference: BD4P36

7.4.2. Results:

Lot ID	Result	Stability Indicating (Yes/No)
GALP-0121-00002 Thermal Stress	Does Not Pass Test	
GALP-0121-00002 Acidic Stress	Does Not Pass Test	
GALP-0121-00002 Basic Stress	Does Not Pass Test	Yes
GALP-0121-00002 Oxidative Stress	Passes Test	105
GALP-0121-00002 Humidity Stress	Passes Test	
GALP-0121-00002 Photolytic Stress	Passes Test	

7.5. IDENTIFICATION TEST A

- 7.5.1. Testing Reference: BD4P37
- 7.5.2. Results:

Lot ID	Result	Stability Indicating (Yes/No)
GALP-0121-00002 Thermal Stress	Passes Test	
GALP-0121-00002 Acidic Stress	Passes Test	
GALP-0121-00002 Basic Stress	Passes Test	No
GALP-0121-00002 Oxidative Stress	Passes Test	No
GALP-0121-00002 Humidity Stress	Passes Test	
GALP-0121-00002 Photolytic Stress	Passes Test	

7.6. **IDENTIFICATION TEST B**

Corresponds to Standard:

Conforms to Standard:

- 7.6.1. Testing Reference: HPLC06P67
- 7.6.2. Results:

Lot ID	Result	Stability Indicating (Yes/No)
GALP-0121-00002 Thermal Stress	Passes Test	
GALP-0121-00002 Acidic Stress	Passes Test	
GALP-0121-00002 Basic Stress	Passes Test	No
GALP-0121-00002 Oxidative Stress	Passes Test	INO
GALP-0121-00002 Humidity Stress	Passes Test	
GALP-0121-00002 Photolytic Stress	Passes Test	

7.7.7.7.

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7.8. IDENTIFICATION TEST C

7.8.1. Testing Reference: BD4P37

7.8.2. Results:

Lot ID	Result	Stability Indicating (Yes/No)
GALP-0121-00002 Thermal Stress	Passes Test	
GALP-0121-00002 Acidic Stress	Passes Test	
GALP-0121-00002 Basic Stress	Passes Test	
GALP-0121-00002 Oxidative Stress	Passes Test	No
GALP-0121-00002 Humidity Stress	Passes Test	INO
GALP-0121-00002 Photolytic Stress	Passes Test	

7.9. RELATED SUBSTANCES

Refer to Summary Sheet:

7.9.1. Testing Reference: HPLC06 pp37-39, 57

7.9.2. Stressed Results:

Lot ID	Result	Stability Indicating (Yes/No)
GALP-0121-00002 Thermal Stress	-00002 Thermal Stress Passes Test	
GALP-0121-00002 Acidic Stress	Does Not Pass Test	
GALP-0121-00002 Basic Stress	Does Not Pass Test	Yes
GALP-0121-00002 Oxidative Stress	Does Not Pass Test	1 65
GALP-0121-00002 Humidity Stress	Passes Test	
GALP-0121-00002 Photolytic Stress	Does Not Pass Test	

7.10. SPECIFIC ROTATION/OPTICAL ROTATION

+78.0° to +81.5@ 20°C:

7.10.1. Testing Reference: BD4P39 7.10.2. Results:

Lot ID	Measured Value	Result	Stability Indicating (Yes/No)
GALP-0121-00002 Thermal Stress	+80.18	Passes Test	
GALP-0121-00002 Acidic Stress	+80.54	Passes Test	
GALP-0121-00002 Basic Stress	+74.50	Fails Test	Yes
GALP-0121-00002 Oxidative Stress	+80.27	Passes Test	Tes
GALP-0121-00002 Humidity Stress	+80.41	Passes Test	
GALP-0121-00002 Photolytic Stress	+80.37	Passes Test	

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Passes Test:

White to off white crystalline powder:

7.11. WATER (By Karl Fischer Titration)

<u>1.0% Max:</u>

Passes Test:

98.0-102.0%:

Refer to Summary Sheet:

7.11.1. Testing Reference: BD4P43 - 44

7.11.2. Results:

Lot ID	Measured Value	Result	Stability Indicating (Yes/No)
GALP-0121-00002 Thermal Stress	0.26%	Passes Test	
GALP-0121-00002 Acidic Stress	0.48%	Passes Test	
GALP-0121-00002 Basic Stress	2.13%	Fails Test	Yes
GALP-0121-00002 Oxidative Stress	0.28%	Passes Test	165
GALP-0121-00002 Humidity Stress	0.42%	Passes Test	
GALP-0121-00002 Photolytic Stress	0.59%	Passes Test	

8. ANALYTICAL CONCLUSIONS:

8.1. APPEARANCE AND COLOR

- 8.1.1. Stability Indicating: Yes
- 8.1.2. Recommended for inclusion in stability test program: Yes
- 8.1.3. Notes: Appearance and color is considered a stability indicating analyses and will be included in the stability test program.

8.2. <u>ACIDITY/ALKALINITY</u>

- 8.2.1. Stability Indicating: Yes
- 8.2.2. Recommended for inclusion in stability test program: Yes
- 8.2.3. Notes: Acidity/Alkalinity is considered a stability indicating analyses and will be included in the stability test program.

8.3. <u>ASSAY</u>

- 8.3.1. Stability Indicating: Yes
- 8.3.2. Recommended for inclusion in stability test program: Yes
- 8.3.3. Notes: Forced degradation of the samples may have created artificial failures during HPLC analysis. The cation H+ chemical mechanism of separation may be affected by deliberate injections with excess base, acids, or oxidizing agents. The system suitability on day of analysis demonstrated USP compliant system suitability resolution, sensitivity, and repeatability and all of the assay results were out of specification. The results will be considered OOS and the USP assay method of analysis will be included in the stability testing program.

8.4. APPEARANCE OF SOLUTION

- 8.4.1. Stability Indicating: Yes
- 8.4.2. Recommended for inclusion in stability test program: Yes
- 8.4.3. Notes: Appearance of solution is considered a stability indicating analyses and will be included in the stability test program.

8.5. IDENTIFICATION TEST A Conforms to Standard:

- 8.5.1. Stability Indicating: No
- 8.5.2. Recommended for inclusion in stability test program: Yes
- 8.5.3. Notes: Identification A is not considered a stability indicating analyses, however, will be included in the stability test program to satisfy one of two required identification analyses throughout the stability life cycle.

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8.6. IDENTIFICATION TEST B Corresponds to Standard: 8.6.1. Stability Indicating: No Recommended for inclusion in stability test program: Yes 8.6.2. 8.6.3. Notes: Identification B is not considered a stability indicating analyses, however, will be included in the stability test program based on being a mutually inclusive analyses during assay analysis by means of HPLC. 8.7. IDENTIFICATION TEST C **Passes Test:** 8.7.1. Stability Indicating: No 8.7.2. Recommended for inclusion in stability test program: No Notes: Identification C is not considered a stability indicating analyses and since identity 8.7.3. A and B will be tested, this is not required for stability testing. 8.8. RELATED SUBSTANCES **Refer to Summary Sheet:** 8.8.1. Stability Indicating: Yes Recommended for inclusion in stability test program: Yes 8.8.2. 8.8.3. Notes: Forced degradation was clear to demonstrate the generation of organic impurities that were clearly resolved from the parent peak. The method of measurement can quantify and detect these unknown degradant products and other related substances. 8.9. SPECIFIC ROTATION/OPTICAL ROTATION +78.0° to +81.5@ 20°C: 8.9.1. Stability Indicating: Yes 8.9.2. Recommended for inclusion in stability test program: Yes Notes: Specific Rotation/Optical rotation is considered a stability indicating analyses and 8.9.3. will be included in the stability test program. 8.10. WATER (By Karl Fischer Titration) 1.0% Max: 8.10.1. Stability Indicating: Yes

- 8.10.2. Recommended for inclusion in stability test program: Yes
- 8.10.3. Notes: Water determination by means of Karl Fischer is considered a stability indicating analyses and will be included in the stability test program.

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1. 5.

9. STABILITY INDICATING SUMMARY:

Rec	commended Stability Indica	ting Tests and Specifications	
Analy	tical Test	Specification	
Appearan	ce and Color	White to off white crystalline powder	
Acidity/	Alkalinity	Passes Test	
А	ssay	98.0-102.0%	
	e of Solution	Passes Test	
	ion A (USP)	Conforms to Standard	
Identificat	ion B (USP)	Corresponds to Standard	
	Lactose and 1,6- galactosyl-galactose	<u><</u> 0.6%	
	Galacturonic Acid	<u><</u> 0.6%	
	Dextrose	<u><</u> 0.6%	
Related Substances	Tagatose	<u>≤</u> 0.6%	
	Dulcitol	<u>≤</u> 0.6%	
	Arabinose	<u>≤</u> 0.6%	
	Unspecified (Single)	≤1.0%	
	Total Organic Impurities	<u>≤1.0%</u>	
Specific / O	ptical Rotation	+78.0° to +81.5@ 20°C	
Water (By Karl	Fischer Titration)	<u>≤1.0%</u>	

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